



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/810,611	03/29/2004	Atsushi Suzuki	251067US0CONT	9751
22850	7590	02/15/2006	EXAMINER	
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314			JONES, DWAYNE C	
			ART UNIT	PAPER NUMBER
			1614	

DATE MAILED: 02/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/810,611	Applicant(s) SUZUKI ET AL.	
	Examiner Dwayne C. Jones	Art Unit 1614	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 03JAN2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 4,5,7,8 and 11-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 4,5,7,8 and 11-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☒ Certified copies of the priority documents have been received in Application No. 10/161,739.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>10/26/05</u> | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Status of Claims***

1. Claims 4, 5, 7, 8, and 11-19 are pending.
2. Claims 4, 5, 7, 8, and 11-19 are rejected.

### ***Information Disclosure Statement***

3. The information disclosure statement of October 26, 2006 has been reviewed and considered.

### ***Allowable Subject Matter***

4. Claims 4, 5, 7, 8, and 11-19 are allowed over Cheng, J. T. et al.

### ***Obviousness-type Double Patenting***

5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

6. The provisional rejection of claims 4, 5, 7, 8, and 11-19 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 6-9 and 11-31 of copending Application No. 09/944,079 is maintained and

repeated. Although the conflicting claims are not identical, they are not patentably distinct from each other because both the instant invention and the copending Application No. 09/944,079 teach of treating hypertension with a compound that is a chlorogenic acid, ester or salt thereof. Moreover, it is well within the level of the skilled artisan to determine therapeutic dosages, modes and methods of administration in order to optimize the efficacy of a pharmaceutical agent. In addition, the skilled artisan would have been motivated to determine and select pharmaceutically acceptable acyl, ester, and amide moieties and derivatives with the known chlorogenic compounds. In addition, both applicant and the copending Application No. 09/944,079 recite the word "comprising", which is open-claim language. It is held that "the word 'comprising' incorporates additional steps of procedures and does not exclude materials or processes not recited in the claim". *Gould v. Mossinghoff, Comr. Pats.*, (DCCD 1982) 215 USPQ 310.

7. This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

8. The provisional rejection of claims 4, 5, 7, 8, and 11-19 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 7-19 and 23-26 of copending Application No. 10/192,075 is maintained and repeated. Although the conflicting claims are not identical, they are not patentably distinct from each other because both the instant invention and the copending Application No. 10/192,075 teach of treating hypertension with a compound that is a chlorogenic acid, ester or salt thereof. Moreover, it is well within the level of the skilled

artisan to determine therapeutic dosages, modes and methods of administration in order to optimize the efficacy of a pharmaceutical agent. In addition, the skilled artisan would have been motivated to determine and select pharmaceutically acceptable acyl, ester, and amide moieties and derivatives with the known chlorogenic compounds. In addition, both applicant and the copending Application No. 10/192,075 recite the word "comprising", which is open-claim language. It is held that "the word 'comprising' incorporates additional steps of procedures and does not exclude materials or processes not recited in the claim". *Gould v. Mossinghoff, Comr. Pats.*, (DCCD 1982) 215 USPQ 310.

9. This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

10. The provisional rejection of claims 4, 5, 7, 8, and 11-19 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1 and 6 of copending Application No. 10/626,708 is maintained and repeated. Although the conflicting claims are not identical, they are not patentably distinct from each other because both the instant invention and the copending Application No. 10/626,708 teach of treating hypertension with a compound that is a chlorogenic acid, ester or salt thereof. Moreover, it is well within the level of the skilled artisan to determine therapeutic dosages, modes and methods of administration in order to optimize the efficacy of a pharmaceutical agent. In addition, the skilled artisan would have been motivated to determine and select pharmaceutically acceptable acyl, ester, and amide moieties and derivatives with the known chlorogenic compounds. In

Art Unit: 1614

addition, both applicant and the copending Application No. 10/626,708 recite the word “comprising”, which is open-claim language. It is held that “the word ‘comprising’ incorporates additional steps of procedures and does not exclude materials or processes not recited in the claim”. *Gould v. Mossinghoff, Comr. Pats.*, (DCCD 1982) 215 USPQ 310.

11. This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

12. The rejection of claims 4, 5, 7, 8, and 11-19 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 5-17 of U.S. Patent No. 6,458,392 is maintained and repeated. Although the conflicting claims are not identical, they are not patentably distinct from each other because both the instant invention and U.S. Patent No. 6,458,392 teach of treating hypertension with a compound that is a chlorogenic acid, ester or salt thereof. Moreover, it is well within the level of the skilled artisan to determine therapeutic dosages, modes and methods of administration in order to optimize the efficacy of a pharmaceutical agent. In addition, the skilled artisan would have been motivated to determine and select pharmaceutically acceptable acyl, ester, and amide moieties and derivatives with the known chlorogenic compounds. In addition, both applicant and U.S. Patent No. 6,458,392 recite the word “comprising”, which is open-claim language. It is held that “the word ‘comprising’ incorporates additional steps of procedures and does not exclude materials or processes not recited in the claim”. *Gould v. Mossinghoff, Comr. Pats.*, (DCCD 1982) 215 USPQ 310.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to D. C. Jones whose telephone number is (571) 272-0578. The examiner can normally be reached on Mondays, Tuesdays, Wednesdays, and Fridays from 8:30 am to 6:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Low, may be reached at (571) 272-0951. The official fax No. for correspondence is (571)-273-8300.

Also, please note that U.S. patents and U.S. patent application publications are no longer supplied with Office actions. Accordingly, the cited U.S. patents and patent application publications are available for download via the Office's PAIR, see <http://pair-direct.uspto.gov>. As an alternate source, all U.S. patents and patent application publications are available on the USPTO web site ([www.uspto.gov](http://www.uspto.gov)), from the Office of Public Records and from commercial sources.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications may be obtained from Private PAIR only. For more information about PAIR system, see <http://pair-direct.uspto.gov> Should you have any questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 1-866-217-9197 (toll free).

  
DWAYNE JONES  
PRIMARY EXAMINER

Tech. Ctr. 1614  
February 10, 2006